

## METHOD AND APPARATUS FOR ENHANCING AN INFRARED SIGNAL PROTOCOL

### BACKGROUND OF THE INVENTION

#### 5 1. Field of Invention

The present invention relates to a transport protocol. More particularly, the invention relates to a transport protocol for communicating control signals from remote control devices, including an infrared keyboard and pointing device, to an electronic  
10 device.

#### 2. Related Art

Set-top boxes are utilized by consumers for receiving multimedia signals from service providers such as satellite and cable. The set-top box is also capable of  
15 receiving signals from remote control devices, such as a television remote control, as well as a keyboard or pointing device. These remote devices allow a subscriber of services (user) to interface with the multimedia or computer software that is viewed on a display panel that is coupled to the set-top box. The keyboard and pointing device (e.g., mouse) interface with a processor in the set-top box via pulsed infrared (IR)  
20 signals. However, signal protocols used by such remote control devices, in particular infrared protocols, may not have enough flexibility to support the number of keycodes needed for a keyboard device, or the speed of transmissions necessary for a pointing device. Several off the shelf solutions are available to solve the problem with a range of protocols, however the majority of them are designed to interface with an additional  
25 designated processor, which is prohibitively expensive.

A current physical layer format utilizes a four pulse position modulation (4PPM) data encoding technique prior to transmitting the infrared signal. The pulse position modulation encoding is achieved by defining a data symbol interval and subsequently subdividing the data symbol interval into equal time slices called "chips". In PPM  
30 schemes, each chip position within a data symbol represents one of the possible bit combinations. Logical "1" represents a chip interval when the transmitting light emitting diode (LED) is emitting light, while a logical "0" represents a chip interval when the LED is off. Thus, 4PPM data encoding includes four chips corresponding to 4

equal time segments. For example, a symbol duration of 500 nsec has four 125 nsec chip intervals.

The following Table 1 correlates each data bit pair with a corresponding 4PPM data sequence, or symbol.

Data Bit Pair (DBP)	4 PPM Data Symbol
00	1000
01	0100
10	0010
11	0001

Table 1

Because there are four unique chip positions within each symbol in 4 PPM, four intervals exist in which only one chip is logically a "one" while all other chips are logically a "zero". As such, each symbol represents two data bits or a "data bit pair." In this manner, a packet containing illustratively 40 bits representing a letter, number, or command selected by a user on the keyboard, is represented by 20 symbols modulated onto a carrier and transmitted from an infrared serial port on the keyboard to the set-top box.

A problem with signal detection by a remote control receiver, particularly with regard to the 4 PPM scheme, has been observed when two infrared pulses occur back to back. For example, when data bit pairs 11 and 00 are sent sequentially such that the chip sequence is 00011000. This occurs in instances where hardware that generates interrupts is only capable of generating an interrupt on a rising edge or on a leading edge of an incoming signal, and does not know the length of the pulse. In such instances, the IR receiver encounters difficulty in distinguishing the symbols, which results in an increase in the bit error rate (BER). Thus, there is a need for an alternative format to the traditional IR formats for devices such as keyboards and pointing devices, which cannot be operated in the traditional format, such as the 4 PPM scheme. Furthermore, there is need for a transmission technique that improves the BER, and a format that operates without a dedicated IR receiver microprocessor.

### SUMMARY OF INVENTION

The disadvantages heretofore associated with the prior art, are overcome by the present invention of a data structure comprising a header portion having a plurality of fields and an expandable payload portion, wherein the data structure utilizes a five pulse position modulation (5PPM) technique for transmitting the header portion and the

expandable payload portion. In a preferred embodiment, the last position of a 5PPM signal is set to a "low" bit. Such an arrangement allows a receiver to decode the received PPM signal based on the rising edges of the pulses, without determining the length of the pulses, thereby allowing the receiver to be designed with fewer, and lower cost components. The inventive data structure can advantageously be used as an infrared signal protocol for remote control of remote control devices such as a wireless keyboard or pointing device.

The plurality of fields are distributed in a three-byte header and comprise a preamble, identification (ID), mode, power flag, reserved bit, repeat field, payload size and check sum. By setting all the bits in specific fields to a high state, the payload portion is expandable from 1 byte up to three bytes in length. The payload portion is used to carry scan codes and key flags for keyboards and X and Y coordinates for a pointing device.

Thus, the inventive IR signaling protocol allows an IR receiver's software to receive traditional IR signaling protocols, as well as providing time between packets for transmission of RF pulses from a RF remote device. Additionally, the data structure allows set-top boxes to operate without a dedicated IR receiver microcontroller, and provides expandability and flexibility above the physical layer.

#### BRIEF DESCRIPTION OF THE DRAWINGS

The present invention is described with reference to the accompanying drawings, wherein:

FIG. 1 illustrates an infrared (IR) signal receiver of the present invention;

FIG. 2 illustrates a general packet format for an infrared signal protocol in accordance with the present invention;

FIG. 3 illustrates an IR signaling protocol defining a packet for a keyboard device in accordance with the present invention;

FIG. 4 illustrates an IR signaling protocol defining a packet for a pointing device in accordance with the present invention;

FIG. 5 illustrates a timing diagram of a packet in accordance with the present invention;

FIG. 6 illustrates a timing diagram of a symbol in accordance with the present invention; and

FIG. 7 illustrates a data stream of IR 5PPM packetized information in accordance with the present invention.

Identical reference numerals have been used to designate elements that are common to the figures.

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### DETAILED DESCRIPTION OF THE INVENTION

The invention will be primarily described within the context of an infrared (IR) receiver that receives IR signals such as a TEMIC™ TSOP1156 IR receiver. However, it will be appreciated by those skilled in the art that the invention is well suited to any  
10 system having a receiver capable of detecting 300 microsecond (usec) pulses, which are defined by six or more carrier cycles per pulse.

FIG. 1 depicts an infrared (IR) signal receiver system 100. Specifically, a remote control device 102 transmits at least one IR signal packet 110 to an IR receiver 104. The remote control device 102 comprises a processing unit 120, memory 124,  
15 modulator 122, and support circuits 128 for collectively generating infrared pulsed for emission through a light emitting diode (LED) 130. In particular, each packetized IR signal 110 is generated via the remote control device 102 such as an IR keyboard or pointing device. The processing unit 120 of the remote control device 102 capable of providing a stream of bits that are modulated by 5 pulse position modulation software  
20 126 via the modulator 122. In a preferred embodiment, the carrier frequency is 56.875 KHz. The modulated IR signal is then transmitted via the LED 130 to IR receiver 104. The IR receiver comprises an IR port 132, demodulator 134, receiver processing unit 136, memory 138, support circuits 139, and a decoder 140. In particular, the IR packet is received by the IR port 132 for demodulation in the demodulator 134. The  
25 demodulated signal i.e., baseband signal is then processed by the receiver processing unit 136, memory 138, support circuits 139, and decoder 140, and then displayed on an output device such as a monitor (not shown) coupled to the IR receiver 104.

FIG. 2 depicts a general packet format for an infrared signal protocol of the present invention. In particular, the IR signaling protocol is an expanded packet  
30 protocol that is transmitted in a packet 110 of bytes 202<sub>1</sub> through 202<sub>m</sub> (collectively bytes 202), where each byte 202 has n-bits 204<sub>1</sub> through 204<sub>n</sub> (collectively 8-bits 204). In the preferred embodiment, each byte 202 comprises 8 bits 204. The packet 110 further comprises a header portion 203 and a payload portion 205. The header portion

203 is defined in the first three bytes 202<sub>1-3</sub> and the payload portion 205 is defined by remaining bytes 202<sub>4-m</sub>. In general, the header portion 203 comprises information to define the characteristics of the payload portion 205, route the packet 110, and perform error checking. The header portion 203 is a fixed length to allow a decoder (not shown) of an IR receiver 104 to find and translate the header portion 203. The payload portion 205 comprises information defined by a user such as a keyboard character or pointing device direction, and is variable in length.

In particular, the header portion 203 comprises a plurality of fields 207 including a preamble 206, ID 208, mode 210, power flag 212, reserved bit 214, repeat 216, payload size 218, and a checksum 220. The packet is transmitted from the most significant bit (MSB) and for purposes of discussion herein, the plurality of fields 207 will be discussed in order beginning with the MSB of each byte 202. The preamble 206 is designated as the first 6 bits 204 of the first byte 202. The purpose of the preamble 206 is to specify a target device for IR reception. Such targets illustratively include a television, video cassette recorder, AM/FM, camcorder, auxiliary, phono, tape, CD, satellite/cable, ASCII terminal, and the like. The traditional IR preamble is 4 bits wide, however the preamble 206 of the present embodiment adds two additional bits for a total of 6 bits, thereby allowing for greater device selection. Further extension of the preamble 206 is also possible by enabling preamble expansion capabilities. This is accomplished by setting the 6 bits in the preamble 206 "high". When all the preamble bits are set high, the payload portion 205 is extended an extra byte (i.e., expandable payload 102<sub>5</sub>) making the preamble 206 an 8-bit field. Thus, the devices available for selection is increased from 63 devices to 256 devices.

The ID field 208 is set forth as the least significant bits (LSB) 204<sub>7-8</sub> in the first byte 202<sub>1</sub>. The ID field 208 is an identification field that is user programmable and provides support for several devices to operate in close vicinity. For example, if a game is supported with multiple input devices, by setting the ID field 208, an IR receiver may differentiate one keyboard from another. Two bits are allotted to the ID field 208 because if an excessive number of devices are used simultaneous in close vicinity, their respective signals may destructively interfere with each other. In order to overcome this problem, the present embodiment allows for the ID field 208 to be expanded in the payload portion 205 in a similar manner as the preamble 206. Specifically, both bits 204<sub>7</sub> and 204<sub>8</sub> are set high, and an extra byte 202 is added to the payload portion 205. If

the preamble 206 is not expanded, then the expanded byte for the ID field 208 is the fifth byte 202<sub>5</sub>. However, if the preamble field 206 is also expanded, then the expanded ID field follows the expanded preamble field as the sixth byte 204<sub>6</sub>. Thus, in an expanded condition, the ID field 208 is capable of providing a possibility of 256<sup>5</sup> devices.

*FIG A27* The second byte 202<sub>2</sub> of the header portion 203 comprises a second portion of the plurality of fields 207. The mode field 210 comprises four bits 204<sub>1-4</sub> and is utilized to set the payload type. Such modes include designations for a keyboard, basic pointing device, a precision pointing device, and the like. Such designations typically include mode 1 as the keyboard, mode 2 as the pointing device, and mode 3 as the precision pointing device. The mode field 210 allows a decoder in an IR receiver to route a packet without completely decoding the payload. The power field 212 is the fifth most significant bit 204<sub>5</sub> of the second byte 202<sub>2</sub>. The power bit 212 is a flag that is set when a power source, such as a battery, in the transmitter of the keyboard or pointing device is below and acceptable operating level. Thus, the power bit 212 alerts a user that there is reduce range or an increase in the bit error rate (BER) due to low power. A reserved bit 214 is the sixth bit 204<sub>6</sub> in the second byte 202<sub>2</sub>, is held constantly low, and is reserved for future use.

The repeat field 216 is defined by the remaining least significant bits 204<sub>7-8</sub> of the second byte 202<sub>2</sub>. The repeat field 216 is a power saving tool and may be used for a transmitting device (e.g., keyboard/mouse) to indicate first presses of a button. In the preferred embodiment, if the repeat field bits are set to 01, the bits 204<sub>7-8</sub> indicate a first transmission of a character from a keyboard or direction from a pointing device. If the repeat field bits are set to 11, the bits 204<sub>7-8</sub> indicate that a shortened repeat sequence is transmitted instead of a full packet payload. Conversely, if bits 204<sub>7-8</sub> are set to either 00 or 10, then the repeat field 216 is ignored, and a full packet payload is transmitted. The shortened packet includes in the header fields 207, the preamble 206, ID 208, mode 210, a two-bit checksum 220 and the repeat field 216 set to 1 and 0. The remaining portion of the packet 110 is excluded unless the expanded preamble 224 and/or expanded Id 226 are set. Thus, the effective number of bits transmitted (without the expansion fields) is 16, versus 40 for the typical keyboard packet.

The third byte 202<sub>3</sub> of the header portion 203 comprises the payload size field 218 located in the first 4 most significant bits, and the checksum 220 in the remaining

four bits. The payload size field 218 stores the number of bytes in the payload portion 205. As previously discussed, the payload portion 205 of the packet 110 is variable in length. The payload size field 218 provides the decoder in the IR receiver with the exact payload size, and thereby eliminates the need for the decoder to make such

5 calculations. If either the preamble and/or the ID fields 206 and/or 208 are in an expansion mode, then the payload size field 218 accounts for the increased bit count. The checksum field 220 is utilized to verify data integrity. When all the nibbles (i.e., 4 bits of a byte) of a packet 110 are added together, including the checksum 220, the result should be zero when the carry is ignored. In an instance where the checksum is

10 not a zero value, then a bit error has occurred and the packet must be retransmitted.

The plurality of fields 207 of the header portion 203 for the infrared signal protocol format is the same regardless of the type of infrared device. In contrast, the payload portion 205 of the packet 110 varies according to the type of device. The fourth byte 202<sub>4</sub> represents the payload 222 and is present in each packet 110 unless the

15 repeat field 216 has both bits set high. The fifth and sixth bytes 202<sub>5</sub> and 202<sub>6</sub> are included in the payload portion 205 of the packet 110 only in the select circumstances discussed above.

FIGS. 3 - 4 each depict an IR signaling protocol defining a packet format for a specific device. Each IR signaling protocol follows the embodiment as described in

20 FIG. 2. In particular, FIG. 3 depicts an IR signaling protocol defining a packet 300 for a keyboard device. The IR signaling protocol for the keyboard comprises the three-byte header portion 203 having the plurality of fields 207 and the payload portion 205 as shown in the general format embodiment. In this instance, the mode field 210 has the least significant bit 204<sub>4</sub> set high and the remaining bits 204<sub>1-3</sub> set low to signify a

25 keyboard device. The payload portion 205 is defined by two bytes 202<sub>4</sub> and 202<sub>5</sub>. Furthermore, each bit of the payload field 222 represents a specific keyboard function. Specifically, the payload field 222 comprises an ASCII flag 302 in bit 204<sub>1</sub> and a plurality of key flags 315 including a CAPS-LOCK flag 308 in bit 204<sub>4</sub>, a Shift flag 310 in bit 204<sub>5</sub>, a Control (CTRL) flag 312 in bit 204<sub>6</sub>, an ALT flag 314 in bit 204<sub>7</sub>, and a

30 Function flag (FN) 316 in bit 204<sub>8</sub>. The two non-designated flags 304 and 306 in bits 204<sub>2</sub> and 204<sub>3</sub> are reserved for future requirements and are kept in a low state. The ASCII flag 302 is used in conjunction with the keyboard payload. That is, when the ASCII flag bit 302 is set high, a specific 8-bit scan code from a key selected by a user

on a keyboard is set in a scan code field 318. The scan code field 318 is defined by a fifth byte 204<sub>5</sub>. The scan codes for a keyboard are based upon an IBM™ standard or enhanced keyboards that have a unique hexadecimal value for each character and key on a keyboard. For example, a non-capitalized letter "g" is 0x67, and a capital "K" is 0x4B in hexadecimal notation. The hexadecimal values are converted into binary numbers. The binary numbers are then set in the scan code field 318, i.e., fifth byte 202<sub>5</sub>, of the payload portion 205 of the packet 300. Thus, if a user were to depress the capitalized K, then the binary equivalent of 0100 1011 would be set in the scan code field 318. Furthermore, the Shift flag bit 310 would be set high.

When the ASCII flag 302 is set low, then the scan code 318 may represent any other non-keyboard selection. In an instance where an IR keyboard is linked to a set-top box, the scan code 318 may represent service provider information such as guide, menu, mail, search, and the like, or control functions such as forward, reverse, play, go to, pause, channel, and the like. The plurality of key flags 315 are set high whenever the Shift 310, CTRL 312, ALT 314, and/or FN 316 keys are depressed on a keyboard by a user. These flags 315 are independent of the scan codes and each other. Therefore, when the ASCII bit 302 is set low, the primary purpose of the key flags 315 is to provide support for non-ASCII key combinations. For example, if CTRL+MENU combination is detected, the CTRL flag 312 is set high and the MENU character is sent as a service provider selection.

In this manner, each packet 300 represents a character or command selected on a keyboard by a user. Accordingly, as a user types in real time, the packets 300 are sequentially sent from the keyboard device to the IR receiver 104 for decoding.

FIG. 4 depicts an IR signaling protocol defining a packet 400 for a pointing device. The IR signaling protocol for the pointing device comprises the three-byte header portion 203 having the plurality of fields 207 and the payload portion 205 as shown in the general format embodiment of FIG 2. In this instance, the mode field 210 has the third MSB 204<sub>3</sub> set high and the remaining bits 204<sub>1,2</sub> and 204<sub>4</sub> set low to signify a standard pointing device. The payload portion 205 is defined by three bytes 202<sub>4-6</sub>. Furthermore, the first four most significant bits 204<sub>1-4</sub> of the payload field 222 (fourth byte 102<sub>4</sub>) represent a specific pointing device function. Specifically, a Left field 402, Center field 404, and Right field 406 are bits that are set high to represent a left, center, or right button on a pointing device when such button is depressed. In an



instance where all pointer buttons are released and no other pointer device is operated, a single zero-value pointer packet is transmitted. Such zero-value signal generates a "button-up" message in the IR receiver. Additionally, the remaining four bits 204<sub>5-8</sub> of the payload portion 205 are reserved for future use and set in a low state.

5 Transmitted coordinates for a pointing device represent a delta from a fixed location. If the coordinates are absolute with respect to a point, then the bit in the absolute field 408 is set high. Conversely, if the delta is relative to a previous transmission, then the coordinates are relative and the bit in the absolute field 408 is set low. The transmitted coordinates are X and Y coordinates 412 and 414, which are  
10 represented by the fifth byte 102<sub>5</sub> and sixth byte 102<sub>6</sub>, respectively. Positive numbers, such as 0x7F = +127, and 0x01 = +1, represent movements left or up and a negative numbers such as 0xFF = -1, 0x80 = -128, represent movements right or down. In this manner, each packet 400 represents a numerical value to define movement and/or a button as selected on a pointing device by a user. Accordingly, as a user moves the  
15 pointing device in real time, a plurality of packets 400 are sequentially sent from the pointing device to the IR receiver for decoding.

The IR signaling protocol is designed in compliance with the Open Systems Interconnection (OSI) standards and the Infrared Data Association (IrDA). Specifically, point-to-point communications between the IR devices utilize half duplex serial IR  
20 communications links through free space. As discussed previously, each packet is transmitted serially, starting with the most significant bit. In order to overcome the deficiencies of utilizing 4 pulse position modulation (4 PPM), the inventive protocol is transmitted via a 5 pulse position modulation (5 PPM) technique, where the last pulse position is empty. The following Table 2 correlates each data bit pair with a  
25 corresponding 5 PPM data symbol.

Data Bit Pair (DBP)	5 PPM Data Symbol
00	10000
01	01000
10	00100
11	00010

Table 2

Logical "1" represents a chip duration when the transmitting LED is emitting light, while a logical "0" represents a chip duration when the LED is off. In this  
30 manner, a packet containing illustratively 40 bits representing a letter, number, or

command selected by a user on the keyboard is represented by 20 symbols, modulated onto a carrier, and transmitted from a infrared serial port on the keyboard to the set-top box.

FIG. 5 depicts a timing diagram of a packet 110. The packet 110 comprises a mark 502, a space 504 and a plurality of symbols 506<sub>1-n</sub> (collectively symbols 506). In a preferred embodiment, the mark 502 is 600 microseconds in length and is utilized to alert the decoder of an incoming packet. The space 504 follows the mark 502 and is 1.2 milliseconds long. Specifically, the mark 502 and space 504 are used in conjunction to set a correct amount of gain in the circuit so that the receiver can differentiate what is just random noise from the true signal. The remaining portion of the packet 110 is the plurality of symbols 506. In the preferred embodiment, the packet 110 comprises 20 symbols each representing two bits. Each symbol 506 has a period of 1.5 milliseconds. As such, the fixed packet length for a 40-bit packet 110 is 31.8 milliseconds.

FIG. 6 depicts a timing diagram of a symbol 506. Specifically, each symbol 506 is comprised of five equal time slots or "chips" 602<sub>1-5</sub> (collectively chips 602), where each chip is a pulse position. Furthermore, only one of the five chip positions of each symbol 506 may contain IR modulation as disclosed by each 5PPM data symbols in Table 2. For example, the data bit pair 01 has a 5PPM data symbol of 01000 where the only high pulse is set in the second chip 602<sub>2</sub>. Furthermore, the fifth chip 602<sub>5</sub> is always held low. One advantage of 5PPM versus 4PPM is that two IR pulses will never occur back to back. For instance, if the data pairs 11 and 00 are sequentially transmitted, then the pulses 00010 10000 would be sent under the 5PPM technique, as opposed to 00011000 being sent under the 4PPM technique. Under the 4 PPM scheme, a receiver must check the duration of the pulses to determine that the "11" pulse is actually comprised to two consecutive "1" pulses. However, under the 5 PPM scheme, the IR receiver knows that two consecutive "1" pulses will not occur. Accordingly, the IR receiver may process only the rising edges of the transmission, and have a fixed count of the number of edges in the transmission, as opposed to a varied amount (due to back-to-back pulses) under the 4PPM technique. Each of the chips 602 has a fixed time length of 298.901 microseconds per pulse. The carrier frequency is 56.875 KHz. Therefore each pulse comprises 17 cycles per pulse and each symbol 506 is approximately 1.5 milliseconds in length, as discussed in FIG. 5.

In operation, the IR signaling protocols as depicted in FIGS. 1-4, are transmitted from the remote IR device 102 to a decoder of the IR receiver 104 as per the 5PPM technique. Data encoding for transmission is performed by most significant bit first. The decoder searches for the 600 usec mark 502 and 1.2 msec space 504 to distinguish the inventive expanded packet format from a traditional IR format and set the required gain to overcome any random noise. Thereafter, the 3-byte header portion 203, beginning with the preamble 206 via symbols 506<sub>1-12</sub>, may be decoded. From the header portion 203 the size is determined from the payload size field 218 and then the payload portion 205 (transmitted in the remaining symbols 506<sub>13-n</sub> of the packet 110) is decoded. In the instance where the device is a keyboard without utilizing expandable fields or repeating a packet, 40 bits, i.e., 20 symbols 506<sub>1-20</sub> are transmitted and then decoded. Additional symbols are transmitted in instances where the expandable fields (bytes) are present. In the instance where the device is a pointing device, 48 bits, i.e., 24 symbols are transmitted and decoded.

FIG. 7 depicts a data stream of IR 5PPM packetized information 700. In particular, the data stream 700 comprises a plurality of packets 110<sub>1</sub> through 110<sub>p</sub> (collectively packets 110) and a minimum interval 702 of 10 milliseconds between each of the packets 110. The minimum 10 msec interval 702 allows for transmission of a radio frequency (RF) pulse during this time. A person skilled in the art will recognize the interval 702 may be a longer period, however such increased time is at the expense of throughput. RF pulses may be transmitted to a receiver by other RF devices such as in a Direct Satellite System (DSS) and the like. In this manner, both IR and RF formats may co-exist, thereby enabling a user to transmit both IR and RF signals using one remote device.

It should be apparent to those skilled in the art that a novel method and apparatus for an infrared signaling protocol having a header and expandable payload has been provided. Such IR signaling protocol is transmitted from an IR keyboard or pointing device to an IR receiver as a sequence of symbols using 5 pulse position modulation. Although various embodiments that incorporate the teachings of the present invention have been shown and described in detail herein, those skilled in the art can readily devise many other varied embodiments that still incorporate these teachings.